#### **STATE OF NEVADA**





#### DEPARTMENT OF PERSONNEL 209 East Musser Street, Room 101 Carson City, Nevada 89701-4204 (775) 684-0150 http://dop.nv.gov

#### MEMORANDUM PERD #20-11

TO:	Designees for Rules Distribution	
FROM:	Teresa J. Thienhaus, Director Department of Personnel	Screeghierhaus
DATE:	May 24, 2011	
SUBJECT:	Revision #12, Rules for State Perso	onnel Administration

Attached is Revision #12 to the *Rules for State Personnel Administration* (NRS and NAC Chapter 284). Regulation changes reflect temporary and permanent adoptions that were approved by the Personnel Commission on February 18, 2011 and are now in effect. Updates to the table of contents and the appendix are included. A copy of the revised NPD-15R form, *Employee Appraisal Response To Request For Review*, is also attached.

This revision can be added to your rulebook by replacing the existing page with a similarly numbered page. Revisions 1–12 are also available separately on our website at <u>www.dop.nv.gov</u> for downloading and printing to update an existing hardcopy. In addition, the complete text of the *Rules for State Personnel Administration* with all revisions and Department of Personnel forms are available on our website.

Updated pages with corresponding sections of the rulebook are listed in the chart below:

SECTION	PAGE NOS.
Table of Contents	ix-x
Reports on Performance	1-4
Adjustment of Grievances	3-8
Appendix - Forms	1-6

Please insure everyone on your distribution list receives a copy. If you have any questions regarding this information, please contact Mark Evans at (775) 684-0149 or <u>mevans@dop.nv.gov</u>.

Thank you for your assistance.

Attachment

TT:dws

cc: Department Directors Division Administrators Agency Personnel Liaisons Agency Personnel Representatives

### **DISCIPLINARY PROCEDURES**

284.638	Warnings and written reprimands	3
284.642	Suspensions and demotions	3
284.646	Dismissals	4
284.650	Causes for disciplinary action	4
284.653	Driving under the influence; unlawful acts involving controlled substance	
284.656	Notice of disciplinary action	6
NEW		7
NEW	Exceptions to notice and hearing time frames	7

### ADJUSTMENT OF GRIEVANCES

284.658	"Grievance" defined	2
284.662	Providing assistance to employee	2
284.678	Submission, form and contents of grievance; informal discussions	2
284.680	Date of receipt of grievance	3
284.682	Submission of grievance to next appropriate level	3
284.686	Submission of grievance to head of division or department	4
284.690	Filing of grievance with administrator of department; action by administrator.	5
284.692	Agreement for extension of time to file grievance or take required action	5
284.695	Submission of grievance to Employee-Management Committee	5
284.6955	Hearing before Employee-Management Committee: Procedure	6
284.6957	Hearing before Employee-Management Committee: Continuance	6
284.696	Unlawful discrimination.	7
284.697	When resolution of grievance becomes binding	7

#### PERSONNEL RECORDS

284.702	Reports of personnel actions	1
284.710	Order of processing personnel documents	1
284.714	Official roster: Inspection; contents	
284.718	Confidential records.	2
284.726	Access to confidential records	3
284.730	Retention and disposal of records	4
284.734	Prohibition against maintenance of secret files	

### **PROHIBITIONS AND OFFENSES**

284.738	Conflicting activities.	4
284.742	Appointing authorities authorized to define conflicting activities	5
284.746	Acceptance of favors	5

284.750	Use of position to secure or grant privileges	5
284.754	Contracts with State.	5
284.758	Use of privileged information	5
284.762	Suppression of information	5
284.766	Full-time service required.	5
284.770	Political activities.	5
284.771	Sexual harassment	7

## PRACTICE BEFORE THE DEPARTMENT OF PERSONNEL

### Hearings Before the Hearing Officer

NEW	Assignment of hearing officer	2
284.774	Scope	2
284.778	Request for hearing and other communications.	3
284.782	Time and place; notice; provision of reasonable accommodation to party with disability	3
284.786	Continuances.	3
284.788	Conduct of hearings on appeal.	3
284.794	Evidence.	4
284.798	Decision must be based on evidence presented	4
284.802	Form of testimony.	4
284.806	Evidence must be authenticated.	4
284.814	Appearance and procedure at hearing	4
284.818	Decision by hearing officer	5

### Adoption, Amendment or Repeal of Regulations

284.826	Notice	5
284.830	Presentation and contents of petitions	5
284.834		5
284.838	Declaratory judgments.	5

#### **Hearings Before the Personnel Commission**

Scope	6
Request for appeal and other communication.	6
Time and place; notice; provision of reasonable accommodation to	
party with disability	6
Hearings open to public	6
Commencement of hearing.	6
Appearance and representation of party; manner of hearing	6
Decision of Commission	6
	Time and place; notice; provision of reasonable accommodation to party with disabilityHearings open to publicCommencement of hearing.Appearance and representation of party; manner of hearing.

#### **REPORTS ON PERFORMANCE**

#### NRS 284.335 states in part, "Standards; records and ratings for service.

1. The appointing authorities and other supervising officers of the various state departments, agencies and institutions, after consultation with the Director, shall establish standards of work performance for each class of positions. Each appointing authority shall provide each of its employees with a copy of the standards for his position.

2. The Director shall maintain service records of performance efficiency, character and conduct by a system of service ratings based upon those standards.

3. The Commission shall adopt regulations with respect to service ratings, and prescribe the extent to which service ratings must be considered in determining the advisability of transfers, the promotion of an employee to a higher class, the question of demotion or dismissal of any employee, increases and decreases in salary of an employee within the salary range established under this chapter and in all other decisions relating to the status of employees."

#### NAC 284.468 Standards for performance of work. (NRS 284.065, 284.155, 284.335)

1. A standard for the performance of work is a written statement prepared on a form prescribed by the Department of Personnel of the results or behavior, or both, expected of an employee when the job elements of the employee's position are satisfactorily performed under existing working conditions. Standards are required for all classified positions.

2. The appointing authority is responsible for ensuring that each position has standards and that each employee is evaluated using those standards. The supervisor has responsibility for establishing the initial standards, but the employee must be given the opportunity to provide comments when the standards for his position are revised.

- 3. The appointing authority has final approval of the standards for a position.
- 4. Standards must be reviewed annually and amended when appropriate.
- 5. Each employee must be provided with a copy of the standards for his position.

6. As used in this section, "job elements" means the principal assignments, job tasks, goals, objectives, responsibilities or related factors, or any combination thereof.

(Added to NAC by Dep't of Personnel, eff. 10-26-84; A 8-28-85; A by Personnel Comm'n by R038-03 & R068-03, 10-30-2003)

NRS 284.337 states, "Reports: Duties of supervisor. An employee whose duties include the supervision of an employee who holds a position in the classified service shall:

1. For filing at the times specified in NRS 284.340, prepare reports on the performance of that employee. In preparing a report, he shall meet with the employee to discuss goals and objectives, to evaluate the employee's improvement in performance and personal development, and to discuss the report.

2. Provide the employee with a copy of the report.

3. Transmit the report to the appointing authority."

NRS 284.340 states, "Reports: Duties of appointing authority. Each appointing authority shall:

1. Report to the Director, in writing, the efficiency of his subordinates and employees, and other information, in such manner as the Commission may prescribe by regulation.

2. File reports with the Director on the performance, during the probationary period, of each of his employees who holds a position in the classified service. A report must be filed at the end of the 2nd and 5th months of employment if the probationary period is 6 months, or at the end of the 3rd, 7th and 11th months of employment if the probationary period is 12 months.

3. File a report annually with the Director on the performance of each of his employees who holds a position in the classified service and has attained permanent status. The report must be filed at the end of the 12th month next following the attainment of permanent status,

and at the end of every 12th month thereafter. If the report is not filed on or before the required date, the performance of the employee shall be deemed to be standard.

4. If any report he files with the Director on the performance of an employee who holds a position in the classified service includes a rating of substandard, file with the Director an additional report on the performance of the employee at least every 90 days until the performance improves to standard or until any disciplinary action is taken.

5. Provide the employee with a copy of each report filed."

# NAC 284.470 Preparation, filing, contents, discussion and distribution of reports; power and duties of employees; review; adjustment of grievances. (NRS 284.065, 284.155, 284.340, 284.384)

1. A person shall not complete a report on performance unless he has completed the training provided or approved by the Director concerning the preparation of a report on performance.

2. A report on performance must be prepared on the form prescribed by the Department of Personnel.

3. A report on performance must be filed at the times prescribed by NRS 284.340, but may be filed more frequently at the discretion of the supervisor of the employee. If a report on performance is not filed on or before the times specified in NRS 284.340, the performance of the employee shall be deemed to be standard.

4. If any information that would have affected the rating of performance of an employee during a period of evaluation becomes available after the date on which the report on performance of the employee is filed for that period, the information may be included in the report on performance for the current period of evaluation and taken into consideration in determining the rating of performance for the current period of evaluation.

5. When a report on performance is given which reports the overall rating of performance of an employee as substandard:

(a) The report must contain a written notice that such reports affect both merit pay increases and the employee's eligibility for longevity pay; and

(b) An additional report on the performance of the employee must, in accordance with subsection 4 of NRS 284.340, be filed at least once every 90 days after the initial report that includes the substandard rating until the performance of the employee improves to standard or disciplinary action is taken against the employee.

6. Except as otherwise provided in subsection 7, the preparation of each report on performance must include a discussion between the employee and his immediate supervisor. Within 10 working days after the discussion takes place:

(a) The employee must complete and sign the appropriate section on the report on performance and return the report to his supervisor for forwarding to the reviewing officer or appointing authority.

(b) If the employee *contests the content of* the report on performance and requests a review, he must respond to the report in writing, identify the specific points of disagreement, if such specificity is provided, and return the response to his supervisor.

(c) The reviewing officer shall respond in writing on a form prescribed by the Department of Personnel within 10 working days after the supervisor receives the request and the employee shall receive a copy. If the reviewing officer is not the appointing authority, he or she shall submit the form with recommendations to uphold or modify the report on performance to the appointing authority. The appointing authority shall review the reviewing officer's recommendations regarding the contested report on performance and shall render a final decision to the employee within 10 working days after receiving the recommendation. The appointing authority has final decision-making authority in the review process.

7. If an employee is unavailable for a discussion of the report on performance pursuant to subsection 6 because of an extended absence, the immediate supervisor of the employee shall cause the report to be mailed to the employee. Within 10 working days after the date on which the employee receives the report:

(a) The employee must complete and sign the appropriate section on the report on performance and mail the report to his supervisor for forwarding to the appointing authority or reviewing officer.

(b) If the employee *contests the content of* the report on performance and requests a review, he must respond to the report in writing, identify any specific point of disagreement, if the report provides such specificity, and mail his response to his supervisor.

(c) The reviewing officer shall respond in writing on a form prescribed by the Department of Personnel within 10 working days after the supervisor receives the request and the employee shall receive a copy. If the reviewing officer is not the appointing authority, he or she shall submit the form with recommendations to uphold or modify the report on performance to the appointing authority. The appointing authority shall review the reviewing officer's recommendations regarding the contested report on performance and shall render a final decision to the employee within 10 working days after receiving the recommendation. The appointing authority has final decision-making authority in the review process. For the purposes of this paragraph, a report on performance or request for review is deemed to have been received on the third day after the date on which the report or request is postmarked.

8. A copy of each report on performance and, if applicable, any written response to such a report, must be provided to the employee and filed with the Department of Personnel.

9. If any written comments are added to a report on performance after a copy of the report has been provided to the employee pursuant to subsection 8:

(a) A copy of the revised report which includes the written comments must be provided to the employee; and

(b) The employee may respond, in writing, to the additional comments in the revised report not later than 10 working days after he receives a copy of the revised report and submit the response to the Department of Personnel for inclusion in his file of employment.

10. An employee and his appointing authority may agree in writing to extend one or more of the periods prescribed in subsection 6 or 7.

11. If a reviewing officer fails to respond to a request for review from an employee within the time required by this section, the employee may institute the procedure for the adjustment of a grievance pursuant to NAC 284.658 to 284.6957, inclusive.

[Personnel Div., Rule IX § A, eff. 8-11-73; A 12-28-75]—(NAC A by Dep't of Personnel, 10-26-84; 9-17-87; 10-18-89; 11-16-95; R031-98, 4-17-98; A by Personnel Comm'n by R065-98, 7-24-98; A by Dep't of Personnel by R197-99, 1-26-2000; R147-01, 1-22-2002; A by Personnel Comm'n by R069-02, 8-14-2002; R096-03, 10-30-2003; R144-05, 12-29-2005; R174-08, 9-29-2008; **T006-11**, **3-25-2011**)

**NAC 284.474 Employee entitled to copy of report.** (NRS 284.065, 284.155, 284.340) Each employee must be given a copy of the report prepared by the supervisor measuring his performance and development on the job. The report will not become official until signed by the rater. If requested, a copy must be provided to the employee at the time of the discussion between the supervisor and the employee. After the processing has been completed, a copy with all appropriate signatures will be provided the employee.

[Personnel Div., Rule IX § C, eff. 8-11-73]—(NAC A by Dep't of Personnel, 10-26-84)

NAC 284.478 Appeal of a contested report on performance. (NRS 284.065, 284.155, 284.384) Upon the completion of the review process, a permanent employee may appeal a contested report on performance through the procedure for the adjustment of a grievance pursuant to NAC 284.658 to 284.6957, inclusive.

[Personnel Div., Rule IX § D, eff. 8-11-73]—(NAC A by Dep't of Personnel, 10-18-89; R197-99, 1-26-2000; *T006-11*, *3-25-2011*)

THIS PAGE INTENTIONALLY LEFT BLANK

(1) His signature; and

(m) The date he signed the statement.

3. Except as otherwise provided in NAC 284.692, if a grievance relates to *a contested report on performance,* an employee must file a grievance that identifies the specific points of disagreement, if such specificity is provided, not later than 10 working days after the date the employee receives *a* decision *regarding the review from the appointing authority.* Except as otherwise provided in NAC 284.692, if the grievance relates to the failure of a reviewing officer *or appointing authority* to respond to a request for a review within the time required by NAC 284.470, an employee must file a grievance not later than 10 working days after the date on which the time for such a response expired.

4. A grievance filed pursuant to subsection 3 must be filed with:

(a) The person who is at the next appropriate level of the grievance process; or

(b) If the person who is at the next appropriate level of the grievance process is the reviewing officer or other person who prepared or reviewed the *report on performance*, the person who is at the next appropriate level of the grievance process.

(c) Grievances regarding reports on performance must be filed with the highest administrator in the department for a response before being submitted to the Employee-Management Committee.

[Personnel Div., Rule XV § A part subsec. 1, eff. 8-11-73; A 6-9-74; 2-5-82]—(NAC A by Dep't of Personnel, 10-26-84; 10-18-89; 3-23-94; R197-99, 1-26-2000; A by Personnel Comm'n by R023-05, 10-31-2005; R191-09, 4-20-2010; **T006-11**, **3-25-2011**)

NAC 284.680 Date of receipt of grievance. (NRS 284.065, 284.155, 284.384) For the purposes of NAC 284.682, 284.686 and 284.690, a grievance is deemed to have been received at each step in the grievance procedure:

1. On the date on which the employee or his chosen representative personally delivers the grievance, transmits it by facsimile machine or submits it via the Internet using the Employee Incident Tracking System within the Nevada Employee Action and Timekeeping System developed by the Department of Personnel, which is available at

### https://nvapps.state.nv.us/NEATS/admin/Home.aep; or

2. If the employee mails the grievance, 3 days after:

(a) The date on which the grievance was postmarked; or

(b) The date on the return receipt if sent by certified mail.

(Added to NAC by Personnel Comm'n by R065-98, 7-24-98; A by Personnel Comm'n by R044-2008, 8-26-2008)

# NAC 284.682 Submission of grievance to next appropriate level. (NRS 284.065, 284.155, 284.384)

1. If the *employee and the employee's appointing authority agree that the* correction of the matter under appeal is beyond the control of a level of supervision contemplated in this procedure or if the *Director or his or her designee, in consultation with the employee's appointing authority,* determines that the submission of the grievance to the supervisor would be a useless act, the aggrieved employee may appeal directly to the next appropriate level.

2. Except as otherwise provided in NAC 284.692, an employee has 10 working days to refer his grievance to the next level after:

(a) He receives notification of the action; or

(b) The passage of 10 working days after his grievance is deemed to have been received,

 $\rightarrow$  whichever occurs first, at each step in the procedure.

[Personnel Div., Rule XV § A part subsec. 1, eff. 8-11-73; A 6-9-74; 2-5-82]—(NAC A by Dep't of Personnel, 10-26-84; A by Personnel Comm'n by R065-98, 7-24-98; R023-05, 10-31-2005; *R064-09*, *10-27-2009*)

# NAC 284.686 Submission of grievance to head of division or department. (NRS 284.065, 284.155, 284.384)

1. Except as otherwise provided in NAC 284.692, if, within 10 working days after the employee's grievance is deemed to have been received by his immediate supervisor, the

employee has not received satisfactory relief, he may forward the grievance to the head of the major division of the department in which he works. In those cases where a department is not subdivided into divisions, he may forward his request directly to the highest administrator in the department.

2. Additional managers or supervisors may become involved or a review committee may make a recommendation to the administrator of the agency.

[Personnel Div., Rule XV § A subsecs. 2 & 3, eff. 8-11-73; A 6-9-74; 2-5-82]—(NAC A by Dep't of Personnel, 10-26-84; A by Personnel Comm'n by R065-98, 7-24-98; R023-05, 10-31-2005)

NRS 284.068 states, "Employee-Management Committee... Creation; appointment and terms of members.

1. The Employee-Management Committee, consisting of six members appointed by the Governor, is hereby created.

2. The Governor shall appoint to the Committee:

(a) Three persons to represent management within the Executive Department of State Government, and three persons to serve as alternates for them.

(b)Three persons to represent employees within the Executive Department of State Government, and three persons to serve as alternates for them.

3. The representatives of employees and their alternates must be selected from a list, supplied by state employees or one or more state employees' groups, or any combination of these, containing twice as many names as there are vacancies to be filled.

4. The members of the Committee and the alternates shall serve for 2 years."

NRS 284.071 states, "Employee-Management Committee... Chairman; rules; meetings; secretarial services.

1. The Chairman of the Employee-Management Committee must be chosen by a majority vote of the members.

2. The Committee shall adopt such rules as it deems necessary for its own management.

3. The Committee shall meet at least once every 3 months and at such other times as the Chairman may designate.

4. The Department shall provide secretarial services for the Committee."

NRS 284.073 states, "Employee-Management Committee: Duties. The Employee-Management Committee shall:

1. Serve in an advisory capacity to the Governor, the Commission and the department with respect to all matters of personnel administration and relations between management and employees.

2. Receive, consider and make recommendations on matters relating to personnel administration, policy and procedures.

3. Provide a forum for the hearing of employees' suggestions, complaints or disciplinary problems.

4. Provide a means of communication for disseminating information to employees regarding the personnel program.

5. Hold hearings, when requested, and make final decisions for the adjustment of grievances as provided by the regulations of the Commission."

# NAC 284.690 Filing of grievance with administrator of department; action by administrator. (NRS 284.065, 284.155, 284.384)

#### 1. Except as otherwise provided in NAC 284.692:

(a) If the employee has not received satisfactory relief within 10 working days after his grievance is deemed to have been received by the head of the division, he may file his grievance with the highest administrator of the department; and

(b) Within 10 working days after the employee's grievance is deemed to have been received by the highest administrator of the department, the administrator or the designee of the administrator shall:

(1) Gather information regarding the grievance;

(2) Render a decision resolving the grievance, including, without limitation, denying the grievance; and

#### (3) Notify the employee of the resolution.

2. In rendering a decision concerning a performance evaluation, an administrator shall address the findings of fact to the specific points of disagreement referred to in the grievance of the employee.

3. Within the established time limitations, including any extensions to those time limitations obtained pursuant to NAC 284.692, the highest administrator may appoint a person or committee composed of managers and employees to assist in the finding of facts and recommending a course of action.

[Personnel Div., Rule XV § A subsec. 4, eff. 8-11-73; A 6-9-74; 2-5-82]—(NAC A by Dep't of Personnel, 10-26-84; 10-18-89; A by Personnel Comm'n by R065-98, 7-24-98; R023-05, 10-31-2005; *R118-10*, *5-5-2011*)

# NAC 284.692 Agreement for extension of time to file grievance or take required action. (NRS 284.065, 284.155, 284.384)

1. Except as otherwise provided in subsection 3, the time limit for filing a grievance and for taking any other action required by either party at any step in the grievance procedure may be extended by the mutual agreement of the employee who may file the grievance and the appointing authority or his designated representative.

2. An agreement to an extension of time entered into pursuant to subsection 1 must be:

(a) Made in writing on a form prescribed by the Department of Personnel; and

(b) Signed by:

(1) The employee; and

(2) The appointing authority or his designated representative.

3. The provisions of this section do not apply to a grievance that has been submitted to the Committee.

(Added to NAC by Personnel Comm'n by R023-05, eff. 10-31-2005)

NAC 284.695 Submission of grievance to Employee-Management Committee. (NRS 284.065, 284.155, 284.384) If an employee *is not satisfied with* the decision *rendered by* the highest administrator in the department *pursuant to NAC 284.690*, he may request consideration of the grievance by the Committee pursuant to its rules. The employee must submit his request to the Committee within 10 working days following his receipt of the decision from the administrator. The request must include all appropriate documentation, a citation of the statutes and regulations pertinent to the grievance, if any, the specific points of disagreement and supporting evidence. The Committee will, within 45 working days after the receipt of the employee's request:

1. Answer the request without a hearing if the case is based upon the Committee's previous decisions or does not fall within its jurisdiction; or

2. Hold a hearing to determine the proper disposition of the request. If a hearing is held, the Committee will:

(a) Except as otherwise provided in paragraph (b), provide at least 21 working days' written notice to all parties concerned.

(b) Provide notice to the employee by:

(1) Sending a written notification by certified mail, return receipt requested, at least 21 working days before the hearing; or

(2) Personally delivering a written notification to the employee at least 5 working days before the hearing, if the Chairman approves of such notice.

(c) Render a decision within 45 days after the closure of the hearing.

[Personnel Div., Rule XV § A part subsec. 6, eff. 8-11-73; A 6-9-74; 7-3-76; 2-5-82]—(NAC A by Dep't of Personnel, 10-26-84; 7-21-89; 10-18-89; A by Personnel Comm'n, 8-1-91; A by Dep't of Personnel, 3-27-92; 11-12-93; 3-23-94; 11-16-95; *R118-10*, *5-5-2011*)

NAC 284.6955 Hearing before Employee-Management Committee: Procedure. (NRS 284.065, 284.155, 284.384) If a hearing is held to determine the proper disposition of a grievance pursuant to NAC 284.695, the following procedure must be followed:

1. Each party shall submit to the Chairman of the Committee or his designated representative 10 copies of the set of documents and materials to be presented at the hearing or any rescheduled hearing. These copies must be submitted not less than 12 working days before the scheduled date of the hearing. The Chairman or his designated representative shall forward one copy of the set of the documents and materials of each party to the other party.

2. If the employee fails to comply with subsection 1, the Chairman or his designated representative may reschedule the hearing to the next time designated for such hearings, but in no case earlier than 20 working days after the originally scheduled date of the hearing. If the employer fails to comply with subsection 1, the Chairman or his designated representative may reschedule the hearing at his discretion. If the employee fails to comply with the provisions of subsection 1 for a rescheduled hearing, his grievance must be dismissed with prejudice unless he can show in writing to the Committee's satisfaction that the reason for noncompliance was beyond his control.

3. Each document or material offered in evidence must be marked as follows:

(a) Documents or materials presented by the employee must be marked at the bottom of the page as "Exhibit\_\_\_\_\_" indicated by consecutive arabic numerals, beginning with the number "1."

(b) Documents or materials presented by the employer must be marked at the bottom of the page as "Exhibit\_\_\_\_" indicated by consecutive letters of the English alphabet, beginning with the letter "A." If the employer offers more than 26 exhibits, the 27th exhibit must be marked as "Exhibit AA," the 28th exhibit as "Exhibit BB," and so forth.

4. All evidence offered at the hearing must be relevant and bear upon the grievance.

5. Each person who testifies at the hearing shall state his name, address, and occupation for the record before testifying.

6. It is the responsibility of each party to arrange for the appearance of all necessary witnesses. The Committee may request additional witnesses or information as it deems necessary.

7. The grievance must be heard in the following order:

- (a) Opening statement for the employee.
- (b) Opening statement for the employer.
- (c) Presentation of employee's case, followed by cross-examination.
- (d) Presentation of employer's case, followed by cross-examination.
- (e) Closing statement for the employee.
- (f) Closing statement for the employer.

8. Upon proper recognition by the Chairman or his designated representative, any member of the Committee may ask a question of a party or witness at any time during the hearing.

(Added to NAC by Personnel Comm'n, eff. 8-1-91; A by Dep't of Personnel, 11-16-95)

# NAC 284.6957 Hearing before Employee-Management Committee: Continuance. (NRS 284.065, 284.155, 284.384)

1. A party may request a continuance of a hearing to determine the proper disposition of a grievance pursuant to NAC 284.695 by submitting a request for a continuance to the Chairman of the Committee or his designated representative at least 12 working days before the scheduled hearing, unless the party received personal notice of the hearing less than 21 working days before

the hearing pursuant to subsection 2 of NAC 284.695, in which case he may request a continuance as long as the request is made at least 4 working days before the scheduled hearing.

2. The Committee may grant a request for a continuance if good cause is shown.

(Added to NAC by Dep't of Personnel, eff. 3-27-92; A 11-16-95)

#### NAC 284.696 Unlawful discrimination. (NRS 284.065, 284.155, 284.384)

1. An employee alleging unlawful discrimination based on any pertinent state or federal law or regulation may:

(a) Report the alleged discrimination to:

(1) The division of the Department of Personnel that investigates sexual harassment and discrimination;

(2) The Attorney General;

(3) The employee's appointing authority;

(4) An equal employment opportunity officer;

(5) A personnel representative of the department in which the employee is employed; or

(6) The office charged with enforcing affirmative action within the appropriate university, state college or community college which is part of the Nevada System of Higher Education;

(b) Use the procedure for the adjustment of a grievance contained in NAC 284.658 to 284.6957, inclusive; or

(c) File a complaint with:

(1) The Nevada Equal Rights Commission pursuant to NRS 613.405; or

(2) The United States Equal Employment Opportunity Commission.

2. The appointing authority of an employee who has alleged unlawful discrimination shall promptly notify the deputy attorney general or staff counsel assigned to represent the agency of the allegation and the actions which are being undertaken by the agency to address the allegation.

(Added to NAC by Dep't of Personnel, eff. 10-26-84; A 9-16-92; 11-16-95; R023-05, 10-31-2005)

# NAC 284.697 When resolution of grievance becomes binding. (NRS 284.065, 284.155, 284.384)

1. Except as otherwise provided in subsection 2, the resolution of a grievance is binding when:

(a) There is an agreement between the person filing the grievance and the appointing authority or the designated representative of the employing agency; or

(b) The Committee renders a final decision.

2. The appointing authority or the designated representative of the employing agency shall submit each proposed resolution of a grievance which has a fiscal effect to the Budget Division of the Department of Administration for a determination of whether the resolution is feasible on the basis of its fiscal effects. The resolution is binding only if it is so found.

(Added to NAC by Dep't of Personnel, eff. 8-28-85; A by Personnel Comm'n by R030-02, 5-2-2002)

# THIS PAGE INTENTIONALLY LEFT BLANK

#### APPENDIX FORMS AVAILABLE THROUGH THE DEPARTMENT OF PERSONNEL

FORM NO.	TITLE	REVISION DATE	EXPLANATION	DIV./ SEC.
ALCOHOL	& DRUGS			2201
			This is used for breath alcohol testing	
	Non-DOT Breath Alcohol		not performed by State law	EMS/
NPD-79	Test Request	April 2011	enforcement.	$CA^2$
	Policy Statement-			
	Alcohol/Drug Free		This is used to advise an employee of	
	Workplace and		the State's policy regarding maintenance	EMS/
TS-58	Acknowledgement	July 1998	of an alcohol/drug free workplace.	$CA^2$
	Employee Breath Test for		This is used to record the results of a	EMS/
TS-69	Alcohol	June 2010	breath test for alcohol.	CA <sup>2</sup>
			This form is used to obtain the consent	
TC 76		M. 2010	of an applicant/employee for	EMS/ CA <sup>2</sup>
TS-76	Alcohol/Drug Consent Form	May 2010	alcohol/drug testing.	CA
			This is used to document the objective facts giving reasonable belief that the	
	Report Form for Suspected		employee was under the influence of	EMS/
TS-77	Alcohol/Drug Impairment	May 2010	alcohol or a controlled substance.	$CA^2$
	NCE & LEAVE	Way 2010	alcohor of a controlled substance.	CA
	Notice of Intent to Donate		These forms are used to request to	
	Leave/Request to Transfer		donate leave by an employee and	
	Leave/Notice of Return of		approve transfers of leave by an	EMS/
NPD-25	Excess Donated Leave	October 2007	appointing authority.	$CA^2$
			This is used by an employee to provide	
	Employee Medical		substantiating evidence of the need for	EMS/
NPD-82	Certification	January 2011	sick leave.	$CA^2$
			This is used to request family and/or	
			medical leave. It also may be used to	
	FMLA Leave of Absence	February	request leave for military service related	EMS/
NPD-60	Form	2011	emergencies and illness/injuries.	$CA^2$
			This is used to notify the employee of	
	Notice of Eligibility and	February	his eligibility, obligations and rights	EMS/
NPD-62	Rights & Responsibilities	2010	when using family and medical leave.	$CA^2$
			This is used to notify the employee of	
		-	the agency's decision in regarding	EMS/
NPD-63	Designation Form	January 2011	granting family and medical leave.	CA <sup>2</sup>
DAX 22	Request to Use Catastrophic	0 1 2007	This is used to request catastrophic	EMS/
PAY-23	Leave Donations	October 2007	leave.	CA <sup>2</sup>
	Notification of Agency's			
	Payroll Center (Regarding a Paguage to Use Catastrophia		This is used to instruct normall to	
PAY-23A	Request to Use Catastrophic Leave)	October 2007	This is used to instruct payroll to transfer approved catastrophic leave.	ADM/CP <sup>2</sup>
1A1-23A		000001 2007	This form is used to appeal a decision	
	Formal Appeal to Committee		by an appointing authority regarding	EMS/
PAY-23B	on Catastrophic Leave	October 2007	catastrophic leave.	$CA^2$
1/11/250		5000001 2007	This form is used, where applicable, to	<i>CI</i> <b>1</b>
	Physician's Certification for		document the medical necessity for	EMS/
PAY-23C	Catastrophic Leave Request	October 2007	catastrophic leave.	$CA^2$
	Voluntary Leave without	2007	This is used for voluntary leave without	EMS/
TS-12	Pay	October 2002	pay during a fiscal emergency.	$CA^2$
			This is used to notify a supervisor that	EMS/
TS-133	Shift Trade Agreement	August 2002	employees are trading shifts.	$CA^2$

FORM	TITLE	REVISION	EXPLANATION	DIV./
NO.		DATE		SEC.
	Certification of Health Care		This is used, where applicable, to	
	Provider for Employee's		document the medical necessity for	EMS/
NPD-83	Serious Health Condition	January 2011	employee medical leave.	$CA^2$
	Certification of Health Care			
	Provider for Family		This is used, where applicable, to	
	Member's Serious Health		document the medical necessity for	EMS/
WH-380-F	Condition	January 2009	family medical leave.	$CA^2$
	Certification of Qualifying		This is used, where applicable, to	
1	Exigency for Military		document the necessity for emergency	EMS/
NPD-84	Family Leave	January 2011	military family leave.	$CA^2$
	Certification for Serious		· · ·	
	Injury or Illness of Covered		This is used, where applicable, to	
	Servicemember-for Military		document the medical necessity for	EMS/
NPD-85	Family Leave	January 2011	military family leave.	$CA^2$
111 0 05		Junuary 2011	This is used to establish fitness for duty	0.11
	Family and Medical Leave		upon an employee's return from FMLA	EMS/
NPD-81	Act Medical Release	January 2011	leave.	$CA^2$
		January 2011	leave.	CA
CLASSIFIC		M 2000	r	$CC^2$
NPD-19	Position Questionnaire	May 2009		- CC-
	Position Questionnaire		This is used to establish select journey	
NPD-19S	(short)	May 2009	level positions.	$CC^2$
NPD-				2
19SFC	Classes (short)	March 2008		CC <sup>2</sup> CC
TS-80	Appeal of Classification	July 2004	This is used to appeal a classification.	CC
COMPENS	ATION			
			This is used to request an adjustment of	
NPD-4	Request to Accelerate Salary	August 2009	steps within the grade.	$CC^2$
	Request for Temporary	November	This is used to request a salary	
NPD-5	Adjustment to Salary	2009	adjustment pursuant to NAC 284.206.	$CC^2$
	2		This is used to document an agreement	
			between an agency and a non-	
			represented employee to receive	
	Election of Compensatory		compensatory time in lieu of cash	
TS-25	Time	October 2007	payment for overtime.	2
10 25	Request for Variable	0000001 2007	This is used to request approval for a	
TS-78	Workday Schedule	October 2007	variable workday schedule.	2
	Request for Variable 80-	000001 2007	This is used to request approval for a	<b> </b>
TS-78A	Hour Work Schedule	October 2007	variable 80-hour work schedule.	2
DISCIDEN		October 2007	variable 80-nour work schedule.	
DISCIPLIN	ARY ACTION			
	Notice of Employee Rights		This form is used to notify an employee	
NDD 35	During an Internal	February	of rights to representation during an	EMS/
NPD-32	Investigation	2004	internal investigation.	$CA^2$
			This form is used in the disciplinary	
			process to advise an employee of an	
			alleged violation and recommended	EMS/
NPD-41	Specificity of Charges	October 2007	action.	$CA^2$
			This form is used for written reprimands	
			that are placed in the employee's service	EMS/
NPD-52	Written Reprimand	October 2007	jacket.	$CA^2$
EMPLOYE				
		February		
MAB	Merit Review Program	2006		$CC^2$
	CE PROCEDURE	_000	1	
JAIL			This form is to be used for filing a	EMS/
NPD-50	Formal Grievance	August 2007	formal grievance by an employee.	$CA^1$
111 D-20		August 2007	iormai grievance by an employee.	СЛ

FORM NO.	TITLE	REVISION DATE	EXPLANATION	DIV./ SEC.
			This form is to be completed by the	5201
			person responding to the grievance and attached as the top copy to the Formal	
	Response to Formal	September	Grievance at each step in the grievance	EMS/
NPD-51	Grievance	2001	procedure.	$CA^1$
	Agreement to Extend		This form is used to extend grievance	EMS/
TS-145	Grievance Filing Periods	May 2008	filing periods.	CA <sup>2</sup>
HEARING.	BEFORE THE HEARING OF	FICER		
	Request for Hearing Under		This form is used to file an appeal of an alleged reprisal or retaliatory action for	
	the Provisions of NRS	February	disclosure of improper governmental	EMS/
NPD-53	281.641	2011	action.	$CA^2$
	Formal Appeal of Discipline		This form is used to appeal to a hearing	EMS/
NPD-54	or Involuntary Transfer	October 2007	officer.	$CA^2$
MILITARY		1	Γ	
	Differential Pay for Active			
	Military Duty Policy and Procedure/ Differential Pay			
	for Active Military Duty			
	Contact and Disbursement		These forms are used to advise an	
	Information Form/ Military		employee of the State's policy regarding	
ML 1, ML	Leave and Earnings	September	differential pay and request and	EMS/
2 & ML 3	Statement Submittal	2005	document eligibility.	$CA^2$
	Calculation of Military		This form is used to calculate wages	
	Differential Pay and Civil Leave Hours	A	when an employee is on differential pay or civil leave.	EMS/ CP <sup>2</sup>
ML 4 PAYROLL/		August 2009	or civil leave.	CP
			Used to document those authorized to	
	Central Records		pick up from the agency drawers in	
CRSA	Authorization to Pick-Up	April 2006	Central Records	ADM/CR
	Direct Deposit-No Print	November		ADM/
	Exemption	2008		$IT^2$
			Used to calculate and change the leave	
			progression date on an employee's record after they have been back in	
	Leave Progression Date	February	State service for 3 consecutive years	
	Adjustment Worksheet	2005	after a break-in service.	ADM/CR
			This is used to authorize the direct	
	Authorization Agreement for		deposit of wages to a financial	
0-2277	Direct Deposit of Net Wages	August 2007	institution.	ADM/CP
0-752	Special Pay/Time	October 2007	This is used to document adjustments to	ADM/CP <sup>2</sup>
0-752	Adjustment Sheet	2007	leave or pay. This is used to document those who are	ADM/CP
	Signature Authorization		authorized signers for document	
0-792	Form	August 2007	preparation/approval.	ADM/CP
CoD	Cancellation of Deduction			ADM/CP <sup>2</sup>
			The time sheet is used to account for	
			hours worked, leave used, and the	
			specific times at which the work shift	
			begins and ends during a pay period. The time sheet may be used to account	
CPER/			for the current pay period or a prior pay	
PPER	Weekly Time Sheet	June 2008	period.	ADM/CP <sup>2</sup>

FORM NO.	TITLE	REVISION DATE	EXPLANATION	DIV./ SEC.
	Employment Status			
ESMT-A	Maintenance Transaction	May 2009		ADM/CR
	Employment Personal			
ESMT-B	Information	May 2009		ADM/CR
			Homeland Security form used to verify	
	Employment Eligibility		an employee's eligibility to work for the	
I-9	Verification	August 2009	State.	$ADM/CR^{2}$
LDPR	Labor Distribution Profile			ADM/CP <sup>2</sup>
TEAT	<b>.</b>	October	This is used to manually adjust	
LEAV	Leave Accrual	2005	employees leave balances.	ADM/CP <sup>2</sup>
	Deferred Compensation	1	This form is used to modify	ADM/CP <sup>2</sup>
NDC-01	Payroll Deduction Form	January 2010	contributions to deferred compensation. This is used to document those	ADM/CP
	Authorization for Payroll Check and Documentation			
DAV 07	Pick Up	August 2007	authorized to pick up payroll checks or hand-typed checks.	ADM/CP <sup>2</sup>
PAY-07	Ріск Ор	August 2007 December	nand-typed checks.	ADM/CP
PAYOP-1	Payroll Overpayment	2003		ADM/CP <sup>2</sup>
TATOF-1	Default on Agreement to	2003		ADIVI/Cr
PAYOP-2	Repay Payroll Overpayment			ADM/CP <sup>2</sup>
TATOT-2	Referral of Payroll	October		ADM/CI
PAYOP-3	Overpayment for Collection	2007		ADM/CP <sup>2</sup>
17101-5	Request to Use Annual	2007		ADM/CI
	Leave to Repay a Payroll			
	Overpayment or Antiweek			
PAYOP-4	Liability	January 2004		ADM/CP <sup>2</sup>
PSMT	Position Status Maintenance	tununj 2001		ADM/CP <sup>2</sup>
Supple-				
mental/	Special Pay Worksheet for	October		
Retro	Retro Salary Adjustment	2007		ADM/CP <sup>2</sup>
Supple-	Special Pay Worksheet for	October		
mental/WC	Workers' Compensation	2007		ADM/CP <sup>2</sup>
	•		IRS form used by an employee to notify	
	Employee's Withholding		the State of the amount of federal	
W-4	Allowance Certificate	current	income tax to be withheld from his pay.	ADM/CP <sup>2</sup>
	Earned Income Credit		IRS form used if an eligible employee	
	Advance Payment		chooses to get part of the earned income	
W-5	Certificate	current	credit in advance with his pay.	ADM/CP <sup>2</sup>
PERFORM	ANCE REPORT	•	1	
	Employee Work	October	This is used to document an employee's	EMS/
NPD-14	Performance Standards	2007	work performance.	$CA^2$
	Employee Appraisal &		This is used to document an employee's	EMS/
NPD-15	Development	March 2009	performance evaluation.	$CA^2$
	Employee Appraisal			
	Response to Request for			EMS/
NPD-15R	Review	May 2011		$CA^2$
RECRUIT	IENT/EXAMINATION/EMP	LOYMENT		1
			This is used to identify the essential	
	Essential Functions	A	duties of a position prior to the hiring	DD <sup>2</sup>
ADA-1	Development	August 2001	interview process.	$RR^2$

FORM	TITLE	REVISION	EXPLANATION	DIV./		
NO.		DATE		SEC.		
			Duties identified as essential functions			
			are listed on this form. It is provided to			
		September	candidates interviewed for vacant	<b>DD</b> <sup>2</sup>		
ADA-3	Essential Functions	1996	positions.	RR <sup>2</sup>		
			This is used to apply for employment	1		
	Employment Application	2006	with the State if not filed online.	$RR^1$		
		October	This is used to request an eligible list or	2		
NPD-3	Personnel Requisition	2002	to open recruitment for a position.	RR <sup>2</sup>		
			This is used to request medical			
	Medical Inquiry in Response		information from a health care provider			
	to an Accommodation		to evaluate a request for ADA	EMS/		
NPD-86	Request	January 2011	accommodation.	$CA^2$		
SEPARATION FROM SERVICE						
	Layoff Notice/Re-	October				
FS-LO	Employment Placement	2007		$CC^2$		
			This is used to notify an employee of a			
			recommendation for separation from			
	Recommendation of		service due to a physical, mental or			
	Separation Pursuant to NAC	October	emotional disorder pursuant to NAC	EMS/		
NPD-42	284.611	2007	284.611.	$CA^2$		
	Resignation from State	October		EMS/		
NPD-45	Service	2007	Employee resignation form.	$CA^2$		
SEXUAL H	SEXUAL HARASSMENT & DISCRIMINATION					
	Sexual Harassment or		This is used to obtain more detail for an	DIR/		
NPD-30	Discrimination Complaint	April 2008	investigation.	$SHD^1$		
	Intake Report of Harassment	· ·		DIR/		
NPD-31	or Discrimination	May 2006	This is used to initiate an investigation.	$SHD^2$		

<sup>1</sup> Indicates that in lieu of using the form, the online program in NEATS may be used.

<sup>2</sup> Indicates that it may be obtained on the Department of Personnel's website at <u>http://dop.nv.gov</u>.

The forms may be obtained by contacting the Department of Personnel division indicated by the code listed below. ADM/CP – Administrative Services Division, Central Payroll (775) 687-9077

ADM/CR - Administrative Services Division, Central Records (775) 687-9089

ADM/IT - Administrative Services Division, Information Technology (775) 687-9099

CC - Compensation and Classification Division (775) 684-0121

DIR/SHD – Director's office, Sexual Harassment & Discrimination (775) 687-1240

EMS/CA – Employee and Management Services Division, Consultation & Accountability (775) 684-0135

RR - Recruitment and Retention Division (702) 486-2900

THIS PAGE INTENTIONALLY LEFT BLANK



### DEPARTMENT OF PERSONNEL EMPLOYEE APPRAISAL RESPONSE TO REQUEST FOR REVIEW

Employee Name: Last		First	Initial
Class Title:		Emplo	yee ID #:
Dept/Div/Section:		Date R	eview Requested:
Agency # (3 digits):	Home Org # (4 digits):	Date R	esponse Due:

**NAC 284.097 "Reviewing officer" defined.** (NRS 284.065) "Reviewing officer" means: 1. The supervisor of the person who prepared a report on performance of an employee; or 2. Such other person designated by the appointing authority, who reviews the report on performance upon the request of the employee pursuant to paragraph (b) of subsection 6 of NAC 284.470.

The following response is provided based on employee's request for review, and points of disagreement. (Additional pages may be attached if needed.)

Prepared by/Reviewing Officer's Signature & Title :

Date

**NAC 284.470 (c) :** "... The appointing authority shall review the reviewing officer's recommendations regarding the contested evaluation and shall render a final decision to the employee within 10 working days after receiving the recommendation. The appointing authority has final decision-making authority in the review process."

\_\_\_\_\_

#### **Decision of Appointing Authority.**

Appointing Authority's Signature & Title :

Date\_\_\_\_\_

Date

Received by/Employee's Signature: